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5 **UNITED STATES DISTRICT COURT**
6 **EASTERN DISTRICT OF WASHINGTON**

7 SHAUNA BELL,

8 Plaintiff,

9 vs.

10 COMMISSIONER OF SOCIAL
11 SECURITY,

12 Defendant.

No. 2:16-cv-03044-MKD

ORDER GRANTING PLAINTIFF'S
MOTION FOR SUMMARY
JUDGMENT AND DENYING
DEFENDANT'S MOTION FOR
SUMMARY JUDGMENT

ECF Nos. 21, 22

13 BEFORE THE COURT are the parties' cross-motions for summary
14 judgment. ECF Nos. 21, 22. The parties consented to proceed before a magistrate
15 judge. ECF No. 6. The Court, having reviewed the administrative record and the
16 parties' briefing, is fully informed. For the reasons discussed below, the Court
17 grants Plaintiff's motion (ECF No. 21), in part, and denies Defendant's motion
18 (ECF No. 22).

19 **JURISDICTION**

20 The Court has jurisdiction over this case pursuant to 42 U.S.C. § 405(g).

STANDARD OF REVIEW

A district court's review of a final decision of the Commissioner of Social Security is governed by 42 U.S.C. § 405(g). The scope of review under § 405(g) is limited; the Commissioner's decision will be disturbed "only if it is not supported by substantial evidence or is based on legal error." *Hill v. Astrue*, 698 F.3d 1153, 1158 (9th Cir. 2012). "Substantial evidence" means "relevant evidence that a reasonable mind might accept as adequate to support a conclusion." *Id.* at 1159 (quotation and citation omitted). Stated differently, substantial evidence equates to "more than a mere scintilla[,] but less than a preponderance." *Id.* (quotation and citation omitted). In determining whether the standard has been satisfied, a reviewing court must consider the entire record as a whole rather than searching for supporting evidence in isolation. *Id.*

In reviewing a denial of benefits, a district court may not substitute its judgment for that of the Commissioner. *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001). If the evidence in the record "is susceptible to more than one rational interpretation, [the court] must uphold the ALJ's findings if they are supported by inferences reasonably drawn from the record." *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012). Further, a district court "may not reverse an ALJ's decision on account of an error that is harmless." *Id.* An error is harmless "where it is inconsequential to the [ALJ's] ultimate nondisability determination."

1 *Id.* at 1115 (quotation and citation omitted). The party appealing the ALJ’s
2 decision generally bears the burden of establishing that it was harmed. *Shinseki v.*
3 *Sanders*, 556 U.S. 396, 409-10 (2009).

4 **FIVE-STEP EVALUATION PROCESS**

5 A claimant must satisfy two conditions to be considered “disabled” within
6 the meaning of the Social Security Act. First, the claimant must be “unable to
7 engage in any substantial gainful activity by reason of any medically determinable
8 physical or mental impairment which can be expected to result in death or which
9 has lasted or can be expected to last for a continuous period of not less than twelve
10 months.” 42 U.S.C. § 423(d)(1)(A). Second, the claimant’s impairment must be
11 “of such severity that he is not only unable to do [her] previous work[,] but cannot,
12 considering [her] age, education, and work experience, engage in any other kind of
13 substantial gainful work which exists in the national economy.” 42 U.S.C. §
14 423(d)(2)(A).

15 The Commissioner has established a five-step sequential analysis to
16 determine whether a claimant satisfies the above criteria. *See* 20 C.F.R. §
17 404.1520(a)(4)(i)-(v). At step one, the Commissioner considers the claimant’s
18 work activity. 20 C.F.R. § 404.1520(a)(4)(i). If the claimant is engaged in
19 “substantial gainful activity,” the Commissioner must find that the claimant is not
20 disabled. 20 C.F.R. § 404.1520(b).

1 If the claimant is not engaged in substantial gainful activity, the analysis
2 proceeds to step two. At this step, the Commissioner considers the severity of the
3 claimant's impairment. 20 C.F.R. § 404.1520(a)(4)(ii). If the claimant suffers
4 from "any impairment or combination of impairments which significantly limits
5 [her] physical or mental ability to do basic work activities," the analysis proceeds
6 to step three. 20 C.F.R. § 404.1520(c). If the claimant's impairment does not
7 satisfy this severity threshold, however, the Commissioner must find that the
8 claimant is not disabled. 20 C.F.R. § 404.1520(c).

9 At step three, the Commissioner compares the claimant's impairment to
10 severe impairments recognized by the Commissioner to be so severe as to preclude
11 a person from engaging in substantial gainful activity. 20 C.F.R. §
12 404.1520(a)(4)(iii). If the impairment is as severe or more severe than one of the
13 enumerated impairments, the Commissioner must find the claimant disabled and
14 award benefits. 20 C.F.R. § 404.1520(d).

15 If the severity of the claimant's impairment does not meet or exceed the
16 severity of the enumerated impairments, the Commissioner must pause to assess
17 the claimant's "residual functional capacity." Residual functional capacity (RFC),
18 defined generally as the claimant's ability to perform physical and mental work
19 activities on a sustained basis despite her limitations, 20 C.F.R. § 404.1545(a)(1),
20 is relevant to both the fourth and fifth steps of the analysis.

1 At step four, the Commissioner considers whether, in view of the claimant's
2 RFC, the claimant is capable of performing work that she has performed in the past
3 (past relevant work). 20 C.F.R. § 404.1520(a)(4)(iv). If the claimant is capable of
4 performing past relevant work, the Commissioner must find that the claimant is not
5 disabled. 20 C.F.R. § 404.1520(f). If the claimant is incapable of performing such
6 work, the analysis proceeds to step five.

7 At step five, the Commissioner considers whether, in view of the claimant's
8 RFC, the claimant is capable of performing other work in the national economy.
9 20 C.F.R. § 404.1520(a)(4)(v). In making this determination, the Commissioner
10 must also consider vocational factors such as the claimant's age, education and
11 past work experience. 20 C.F.R. § 404.1520(a)(4)(v). If the claimant is capable of
12 adjusting to other work, the Commissioner must find that the claimant is not
13 disabled. 20 C.F.R. § 404.1520(g)(1). If the claimant is not capable of adjusting to
14 other work, analysis concludes with a finding that the claimant is disabled and is
15 therefore entitled to benefits. 20 C.F.R. § 404.1520(g)(1).

16 The claimant bears the burden of proof at steps one through four above.
17 *Tackett v. Apfel*, 180 F.3d 1094, 1098 (9th Cir. 1999). If the analysis proceeds to
18 step five, the burden shifts to the Commissioner to establish that (1) the claimant is
19 capable of performing other work; and (2) such work "exists in significant
20 numbers in the national economy." 20 C.F.R. § 404.1560(c)(2); *Beltran v. Astrue*,

1 700 F.3d 386, 389 (9th Cir. 2012).

2 **ALJ'S FINDINGS**

3 Plaintiff applied for Title II disability insurance benefits on March 19, 2013,¹
4 alleging a disability onset date of February 1, 2011. Tr. 170-78. The application
5 was denied initially, Tr. 94-104, and on reconsideration, Tr. 106-10. Plaintiff
6 appeared at a hearing before an Administrative Law Judge (ALJ) on July 22, 2014.
7 Tr. 29-58. At the hearing, Plaintiff amended her alleged date of onset to January
8 22, 2011. Tr. 32. On October 8, 2014, the ALJ denied Plaintiff's claim. Tr. 14-
9 23.

10 At step one, the ALJ found that Plaintiff has not engaged in substantial
11 gainful activity since January 22, 2011, the amended alleged onset date. Tr. 16.

12 At step two, the ALJ found Plaintiff has the following severe impairments:
13 rheumatoid arthritis; fibromyalgia; and mild cervical disc disease. *Id.* At step
14 three, the ALJ found that Plaintiff does not have an impairment or combination of
15 impairments that meets or medically equals a listed impairment. Tr. 18. The ALJ
16 then concluded that Plaintiff has the RFC to perform light work, with the following
17 limitations:

18 she can lift and carry 20 pounds occasionally and 10 pounds
19 frequently; sit about six hours and stand and/or walk about six hours

20 ¹ Plaintiff's protective filing date was March 12, 2013. Tr. 245.

1 in an eight-hour day with regular breaks. She is unlimited in her
2 ability to push and pull within these exertional limitations. She can
3 frequently climb ramps and stairs and occasionally climb ladders,
4 ropes, and scaffolds. She can frequently balance, stoop, kneel, crouch,
5 crawl, reach, handle, and finger. She should avoid concentrated
6 exposure to hazards.

7 *Id.* At step four, the ALJ found that Plaintiff is able to perform relevant past work
8 as a medical assistant. Tr. 22. On that basis, the ALJ concluded that Plaintiff was
9 not disabled as defined in the Social Security Act during the adjudicative period,
10 January 22, 2011 to October 8, 2014.² Tr. 22-23.

11 On February 25, 2016, the Appeals Council denied review, Tr. 1-6, making
12 the Commissioner's decision final for purposes of judicial review. *See* 42 U.S.C.
13 405(g); 20 C.F.R. § 422.210.

14 ² Plaintiff had a prior application that was denied at the initial level on April 22,
15 2011. Tr. 246. In her decision, the ALJ acknowledged the prior application and
16 stated that "[a]ny discussion of evidence from before that date is for background
17 purposes only and is not an implied reopening." Tr. 14. However, the ALJ's
18 determination is clearly for the period of time from January 22, 2011 to October 8,
19 2014. Tr. 14-23. Upon remand, the ALJ is instructed to clearly define the
20 adjudicative period and apply res judicata if appropriate or reopen the April 22,
2011 application to allow an onset date of January 22, 2011.

1 **ISSUES**

2 Plaintiff seeks judicial review of the Commissioner's final decision denying
3 her disability insurance benefits under Title II of the Social Security Act. ECF No.
4 21. Plaintiff raises the following issues for this Court's review:

- 5 1. Whether the ALJ properly weighed the medical opinion evidence;
6 2. Whether the ALJ made a proper step three determination; and
7 3. Whether the ALJ properly discredited Plaintiff's symptom claims.

8 ECF No. 21 at 9-19.

9 **DISCUSSION**

10 **A. Medical Opinion Evidence**

11 Plaintiff faults the ALJ for discounting the medical opinions of Derek
12 Peacock, M.D.; Doug Sarver, MSPT, CMP; Robert Lantrip, D.C.; and Howard
13 Platter, M.D. ECF No. 21 at 9-15.

14 There are three types of physicians: "(1) those who treat the claimant
15 (treating physicians); (2) those who examine but do not treat the claimant
16 (examining physicians); and (3) those who neither examine nor treat the claimant
17 but who review the claimant's file (nonexamining or reviewing physicians)."
18 *Holohan v. Massanari*, 246 F.3d 1195, 1201-02 (9th Cir. 2001) (brackets omitted).
19 "Generally, a treating physician's opinion carries more weight than an examining
20 physician's, and an examining physician's opinion carries more weight than a

1 reviewing physician's." *Id.* "In addition, the regulations give more weight to
2 opinions that are explained than to those that are not, and to the opinions of
3 specialists concerning matters relating to their specialty over that of
4 nonspecialists." *Id.* (citations omitted).

5 If a treating or examining physician's opinion is uncontradicted, an ALJ may
6 reject it only by offering "clear and convincing reasons that are supported by
7 substantial evidence." *Bayliss v. Barnhart*, 427 F.3d 1211, 1216 (9th Cir. 2005).
8 "However, the ALJ need not accept the opinion of any physician, including a
9 treating physician, if that opinion is brief, conclusory and inadequately supported
10 by clinical findings." *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228
11 (9th Cir. 2009) (internal quotation marks and brackets omitted). "If a treating or
12 examining doctor's opinion is contradicted by another doctor's opinion, an ALJ
13 may only reject it by providing specific and legitimate reasons that are supported
14 by substantial evidence." *Bayliss*, 427 F.3d at 1216 (citing *Lester v. Chater*, 81
15 F.3d 821, 830-31 (9th Cir. 1995)).

16 In this case, the record only contained the medical opinions of Dr. Peacock,
17 Therapist Sarver, Dr. Lanatrip, and Dr. Platter and the ALJ discounted all four of
18 these opinions in some way. Tr. 21-22. In doing so, the ALJ failed to provide
19 substantial evidence to support the RFC determination.

1 1. *Derek Peacock, M.D.*

2 On March 5, 2013, Dr. Peacock completed a Medical
3 Questionnaire/Capacity for Work-Type Activity form. Tr. 631. On the form, he
4 checked the box indicating that “I do not believe that this patient is capable of
5 performing any type of work on a reasonably continuous, sustained basis (e.g.,
6 eight hours a day, five days a week, or approximately 40 hours per week,
7 consistent with a normal work routine).” *Id.* The ALJ gave this opinion “little
8 weight because there is no explanation for the opinion, he merely checked a box on
9 a form, and because it is not consistent with the claimant’s activities of being a
10 primary caregiver for her young children while her husband works full time.” Tr.
11 21. Plaintiff asserts that the opinion is uncontradicted and the clear and convincing
12 standard applies. ECF No. 21 at 15. Defendant challenges the clear and
13 convincing standard in general and asserts that the specific and legitimate standard
14 applies whether or not the opinion is contradicted. ECF No. 22 at 29. The Court
15 will forgo determining which standard applies to Dr. Peacock’s opinion as ALJ’s
16 reasons fall short of the lessor specific and legitimate standard.

17 First, the Ninth Circuit has recognized a preference for individualized
18 medical opinions over check-the-box forms, *Murray v. Heckler*, 722 F.2d 499, 501
19 (9th Cir. 1983); however, when the opinion expressed in a check-the-box form is
20 based on significant experience with a claimant and supported by numerous

1 records, it is entitled to more weight than an otherwise unsupported and
2 unexplained check-the-box form, *Garrison v. Colvin*, 759 F.3d 995, 1013 (9th Cir.
3 2014).³

4 Here, the record shows that Dr. Peacock treated Plaintiff as early as March
5 25, 2010. Tr. 326-27. In total, Dr. Peacock saw Plaintiff five times and the nurse
6 practitioner at his office saw her an additional sixteen times. Tr. 328-31, 476-79,
7 480-82, 490-515, 640-87. These visits revealed regular synovitis in the upper
8 extremities. Tr. 331, 477, 481, 489, 492, 495, 498, 501, 507, 510, 514, 638, 641,
9 644, 647, 654, 658, 662, 666, 677. The ALJ failed to address the fact that Dr.
10 Peacock was Plaintiff's treating rheumatologist and rejected his opinion simply
11 because it was on a check-the-box form. This fails to meet the specific and
12 legitimate standard, let alone the heightened clear and convincing standard.

13 The ALJ's second reason, that the opinion was inconsistent with Plaintiff's
14 activities as a caregiver to young children, is also legally insufficient. A claimant's

15 _____
16 ³ Furthermore, the Ninth Circuit has recently held that the fact that an opinion is
17 expressed on a check-the-box form does not constitute a germane reason to
18 discount the opinion of a non-acceptable medical source. *Popa v. Berryhill*, No.,
19 15-16848, slip op. at 5 (9th Cir. Aug. 18, 2017). Accordingly, such reasoning
20 cannot meet the more exacting standards applied to acceptable medical sources.

1 testimony about her daily activities may be seen as inconsistent with the presence
2 of a disabling condition. *See Curry v. Sullivan*, 925 F.2d 1127, 1130 (9th Cir.
3 1990). The Ninth Circuit has recently clarified that the record must provide details
4 about the nature, extent, and frequency of the childcare activities for them to
5 “constitute ‘substantial evidence’ inconsistent with [an examining physician’s]
6 informed opinion.” *Trevizo v. Berryhill*, 862 F.3d 987, 998 (9th Cir. 2017); *see*
7 *also Cysewski v. Astrue*, 290 Fed.Appx 972, 974 (9th Cir. 2008) (Providing fifty
8 hours a week of childcare to the claimant’s grandchildren for pay was not
9 equivalent to performing the functions of a childcare provider in the workplace.).

10 Here, Plaintiff stated the following:

11 I can make meals for my child, and I can change their diaper, taking
12 care of them. And that’s what I do with them. I’m not out playing
13 with them, wrestling around with them. I’m not able to give them
14 baths. That’s what my husband does. He helps me get them ready for
15 bed. So I was just in the mornings and afternoon. My husband helps
16 me with the rest.

17 I don’t take them out when I go out shopping. I wait for my husband
18 to get home. Or he’s the one that goes out and does that stuff so I’m
19 not toting them around town.

20 Tr. 48-49. Additionally, Plaintiff indicated that her youngest was not very active,
stating that “[he] sits and plays. He’s content being able to play on his own. He
plays with his sister. There’s times he might bring his toys over to me when I’m
sitting in a chair, run his cars on me.” Tr. 52. On her function report, Plaintiff

1 stated that she feeds her children, changes diapers and clothes and gives the oldest
2 on a shower. Tr. 259, 283. The ALJ is required to provide some explanation to
3 support her conclusion that the claimant's activity is inconsistent with the
4 provider's opinion. *See Embrey v. Bowen*, 849 F.2d 418, 421-22 (9th Cir. 1988)
5 (The ALJ is required to do more than offer her conclusions, she "must set forth
6 [her] interpretations and explain why they, rather than the doctors', are correct," to
7 meet the specific and legitimate standard). Here, the ALJ failed to articulate what
8 parenting activities Plaintiff performed that were inconsistent with Dr. Peacock's
9 opinion.

10 The Court appreciates Defendant's argument that the form used to express
11 Dr. Peacock's, as well as Therapist Sarver's and Dr. Lantrip's, opinion failed to set
12 forth specific limitations on a functional basis that the ALJ could represent in terms
13 of an RFC, and was thus conclusory in nature, *see* ECF No. 22 at 6-9, however, the
14 Court is limited to addressing the reasons the ALJ provided for discounting the
15 provider's opinion. *See Orn v. Astrue*, 495 F.3d 625, 630 (9th Cir. 2007) (The
16 Court will "review only the reasons provided by the ALJ in the disability
17 determination and may not affirm the ALJ on a ground upon which he did not
18 rely."). Therefore, the case must be remanded for the ALJ to properly weigh and
19 discuss Dr. Peacock's opinion. Additionally, since Dr. Peacock's opinion is
20 identical to the other opinions of examining providers in the record, which also fail

1 to set forth a functional analysis the ALJ can represent in terms of an RFC, the ALJ
2 is instructed to call a medical expert to testify upon remand.

3 2. *Doug Sarver, MSPT, CMP*

4 Similar to Dr. Peacock, Therapist Sarver completed a Medical Questionnaire
5 on March 20, 2013 and checked the box indicating that “I do not believe that this
6 patient is capable of performing any type of work on a reasonably continuous,
7 sustained basis (e.g., eight hours a day, five days a week, or approximately 40
8 hours per week, consistent with a normal work routine).” Tr. 632. The ALJ gave
9 this opinion “little weight because there is no explanation for his opinion and
10 merely checked a box on a form sent by the claimant’s representative.

11 Furthermore, Mr. Sarver is not an acceptable medical source and his opinion is not
12 consistent with the claimant’s activities of being a caregiver for her two young
13 children.” Tr. 21.

14 Additionally, on November 20, 2012, Therapist Sarver sent a letter excusing
15 Plaintiff from jury duty because she “is very limited in her ability to tolerate
16 prolonged sitting activity due to her chronic neck, upper back and lower spine
17 issues as a result of her ongoing and difficult to manage rheumatoid arthritis
18 symptoms.” Tr. 419. He additionally stated that “I strongly feel that patient is not
19 capable of performing this civic duty without significant increase in her pain
20 complaints and likely reduction in her functional capacity.” *Id.* The ALJ gave this

1 letter “little weight because he offered no explanation of what he believed the
2 claimant’s residual functional capacity to be or why she would be unable to sit
3 through jury duty.” Tr. 21.

4 The ALJ is accurate that Therapist Sarver is not an acceptable medical
5 source. *See* 20 C.F.R. § 404.1502 (Acceptable medical sources are licensed
6 physicians, licensed or certified psychologists, licensed optometrists, licensed
7 podiatrists, and qualified speech-language pathologists.). An ALJ is required to
8 consider evidence from non-acceptable medical sources and non-medical sources.
9 20 C.F.R. § 404.1527(f). An ALJ must reasons “germane” to each source in order
10 to discount evidence from non-acceptable medical sources and non-medical
11 sources. *Ghanim v. Colvin*, 763 F.3d 1154, 1161 (9th Cir. 2014).

12 Therapist Sarver’s March 20, 2013 opinion is identical to that of Dr.
13 Peacock’s. The Court is remanding this case to further address Dr. Peacock’s
14 opinion. As such, the ALJ will also address Therapist Sarver’s opinion.⁴
15 Likewise, since the case is being remanded the ALJ is to readdress Therapist
16

17 ⁴ As previously noted, the Ninth Circuit has recently held that the fact that an
18 opinion is expressed on a check-the-box form does not constitute a germane reason
19 to discount the opinion of a non-acceptable medical source. *Popa*, No. 15-16848,
20 slip op. at 5.

1 Sarver's November 20, 2012 letter.

2 3. *Robert Lantrip, D.C.*

3 On May 30, 2014, Dr. Lantrip completed a Medical Questionnaire identical
4 to those completed by Dr. Peacock and Therapist Sarver. Tr. 645. He also
5 checked the box indicating that "I do not believe that this patient is capable of
6 performing any type of work on a reasonably continuous, sustained basis (e.g.,
7 eight hours a day, five days a week, or approximately 40 hours per week,
8 consistent with a normal work routine)." *Id.* He also sent a letter stating that
9 Plaintiff had been a patient since July of 2010 and that "[b]ecause of arthritis
10 effecting her spine, she is not able to do much more than make it through her
11 regular day. If she exerts herself in any way it causes a flare up in her symptoms
12 and it renders her immobile." Tr. 633. The ALJ gave this opinion "little weight"
13 because the explanation was "vague" and the opinion was "not consistent with the
14 claimant's childcare activities." Tr. 22.

15 As a chiropractor, Dr. Lantrip is also not an acceptable medical source. *See*
16 20 C.F.R. § 404.1502. Therefore, the ALJ can reject his opinion for reasons
17 "germane" to him. *Ghanim*, 763 F.3d at 1161. Dr. Lantrip's opinion is identical to
18 Dr. Peacock's. The Court is remanding this case for the ALJ to properly address
19 Dr. Peacock's opinion; therefore, the ALJ is further instructed to readdress Dr.
20 Lantrip's opinion on remand.

1 4. *Howard Platter, M.D.*

2 On August 13, 2013, Dr. Platter completed a RFC Assessment after
3 reviewing the records that were in Plaintiff's file at that time. He opined that
4 Plaintiff could perform a range of light work; however, she was limited bilaterally
5 to occasional reaching, handling, and fingering due to the rheumatoid arthritis
6 resulting in synovitis in her hands and cervical spine arthritis. Tr. 84-85. The ALJ
7 rejected Dr. Platter's opinion as to Plaintiff's limitation in the use of her upper
8 extremities because it was inconsistent with her "ability to independently care for
9 activities of daily living and take care of an infant, now a toddler, in addition to her
10 daughter." Tr. 22.

11 The ALJ is required to consider prior administrative medical findings and
12 medical evidence from State agency medical consultants. S.S.R. 96-6p.⁵ And the
13 ALJ's determination must be supported by substantial evidence. *Hill*, 698 F.3d at
14 1158. Here, Dr. Platter is the only acceptable medical source who provided an
15 opinion that could be represented in the form of an RFC. Tr. 84-85. In doing so,
16 he addressed the use of Plaintiff's upper extremities and opined Plaintiff was

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18 ⁵ On March 27, 2017, S.S.R. 19-9p was rescinded and 20 C.F.R. § 404.1513a(b)
19 was enacted, which also requires the ALJ to consider the opinions of State agency
20 medical consultants.

1 limited to occasional use. *Id.* In contrast, the ALJ found her capable of frequent
2 use of the upper extremities. Tr. 18. In his determination, the ALJ fails to cite to
3 any medical evidence that supports a limitation to frequent use of the upper
4 extremities over the opined occasional use. Tr. 14-23. Additionally, since the ALJ
5 is instructed to readdress the opinions of the other medical source opinions in the
6 record upon remand, she is also instructed to readdress Dr. Platter's opinion.

7 **B. Step Three**

8 At step three, the ALJ is required to determine whether Petitioner's
9 impairments meet or equal an impairment listed in 20 C.F.R., Pt. 404, Subpt. P,
10 App. 1. 20 C.F.R. § 404.1520(d). Petitioner asserts that the ALJ erred by failing
11 to properly consider listing 14.09 and the use of Plaintiff's upper extremities in her
12 decision. ECF No. 21 at 16-17.

1 Petitioner has the burden of proof at step three and must show that she meets
2 all criteria of a Listing. *Tackett*, 180 F.3d at 1098. An ALJ is required to “evaluate
3 the relevant evidence before concluding that a claimant’s impairments do not meet
4 or equal a listed impairment.” *Lewis v. Apfel*, 236 F.3d 503, 512 (9th Cir. 2001).
5 However, the ALJ is not required to “state why a claimant failed to satisfy every
6 different section of the listing of impairments.” *Gonzalez v. Sullivan*, 914 F.2d
7 1197, 1201 (9th Cir. 1990). Rather, the ALJ is only required to adequately state
8 the “foundations on which the ultimate factual conclusions are based.” *Id.*

9 Under subpart A of the 14.09 Listing Requirements, a claimant meets the
10 requirements for inflammatory arthritis if she has persistent inflammation or
11 persistent deformity of “[o]ne or more major peripheral joints in each upper
12 extremity resulting in the inability to perform fine and gross movements effectively
13 (as defined in 14.00C7).” 20 C.F.R., Pt. 404, Subpt. P, App. 1, § 14.09(A).

14 Under the Listing, the “inability to perform fine and gross movements
15 effectively” means “an extreme loss of function of both upper extremities.” 20
16 C.F.R. Pt. 404, Subpt. P, App. 1 § 1.00(B)(2)(c). The impairment must interfere
17 “very seriously” with the claimant’s ability to “independently initiate, sustain, or
18 complete activities.” *Id.* Examples of an inability to perform gross and fine
19 movements include the inability to prepare simple meals and feed oneself, to take
20 care of personal hygiene, and to file papers in a cabinet at waist level. *Id.*

1 Here, since the ALJ is has been instructed to further evaluate the all the
2 medical opinions in the file upon remand and call a medical expert to testify at
3 additional proceedings, she is also instructed to ask the medical expert to address
4 the use of Plaintiff's upper extremity and consider the expert's opinion when
5 making a new step three determination.

6 **C. Adverse Credibility Finding**

7 Plaintiff faults the ALJ for failing to provide specific findings with clear and
8 convincing reasons for discrediting her symptom claims. ECF No. 21 at 18-21.

9 An ALJ engages in a two-step analysis to determine whether a claimant's
10 testimony regarding subjective pain or symptoms is credible. "First, the ALJ must
11 determine whether there is objective medical evidence of an underlying
12 impairment which could reasonably be expected to produce the pain or other
13 symptom alleged." *Molina*, 674 F.3d at 1112 (internal quotation marks omitted).
14 "The claimant is not required to show that her impairment could reasonably be
15 expected to cause the severity of the symptom she has alleged; she need only show
16 that it could reasonably have caused some degree of the symptom." *Vasquez v.*
17 *Astrue*, 572 F.3d 586, 591 (9th Cir. 2009) (internal quotation marks omitted).

18 Second, "[i]f the claimant meets the first test and there is no evidence of
19 malingering, the ALJ can only reject the claimant's testimony about the severity of
20 the symptoms if she gives 'specific, clear and convincing reasons' for the

1 rejection.” *Ghanim*, 763 F.3d at 1163 (internal citations and quotations omitted).
2 “General findings are insufficient; rather, the ALJ must identify what testimony is
3 not credible and what evidence undermines the claimant’s complaints.” *Id.*
4 (quoting *Lester*, 81 F.3d at 834); *Thomas v. Barnhart*, 278 F.3d 947, 958 (9th Cir.
5 2002) (“[T]he ALJ must make a credibility determination with findings sufficiently
6 specific to permit the court to conclude that the ALJ did not arbitrarily discredit
7 claimant’s testimony.”). “The clear and convincing [evidence] standard is the most
8 demanding required in Social Security cases.” *Garrison*, 759 F.3d at 1015
9 (quoting *Moore v. Comm’r of Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)).

10 In making an adverse credibility determination, the ALJ may consider, *inter*
11 *alia*, (1) the claimant’s reputation for truthfulness; (2) inconsistencies in the
12 claimant’s testimony or between her testimony and her conduct; (3) the claimant’s
13 daily living activities; (4) the claimant’s work record; and (5) testimony from
14 physicians or third parties concerning the nature, severity, and effect of the
15 claimant’s condition. *Thomas*, 278 F.3d at 958-59.

16 The ALJ found that while Plaintiff’s medically determinable impairments
17 could reasonably be expected to cause some of the alleged symptoms, she found
18 Plaintiff less than fully credible concerning the intensity, persistent and limiting
19 effects of the reported symptoms. Tr. 19. The ALJ found Plaintiff’s symptom
20 reports less than fully credible because the objective evidence was inconsistent

1 with the allegations and the alleged symptoms were inconsistent with her ability to
2 care for her children and perform her other reported daily activities, including light
3 housework. Tr. 19-21.

4 Considering this case is being remanded for the ALJ to properly address the
5 medical opinions in the file, the ALJ is further instructed to readdress Plaintiff's
6 symptom statements.

8 **D. Remand**

9 Plaintiff urges the Court to remand for immediate award of benefits. ECF
10 No. 21 at 20-21. To do so, the Court must find that the record has been fully
11 developed and further administrative proceedings would not be useful. *Garrison*,
12 759 F.3d at 1019-20; *Varney v. Sec. of Health and Human Servs.*, 859 F.2d 1396,
13 1399 (9th Cir. 1988). But where there are outstanding issues that must be resolved
14 before a determination can be made, and it is not clear from the record that the ALJ
15 would be required to find a claimant disabled if all the evidence were properly
16 evaluated, remand is appropriate. *See Benecke v. Barnhart*, 379 F.3d 587, 595-96
17 (9th Cir. 2004); *Harman v. Apfel*, 211 F.3d 1172, 1179-80 (9th Cir. 2000).

18 Here, it is not clear from the record that the ALJ would be required to find
19 Plaintiff disabled if all the evidence were properly evaluated. Further proceedings
20 are necessary for the ALJ to properly address Plaintiff's impairments at step three,

1 properly weigh medical opinions in the record, and address the credibility of
2 Plaintiff's symptom reports. The ALJ is instructed to supplement the record with
3 any outstanding evidence and take testimony from a medical and a vocational
4 expert at a remand hearing.

5 **CONCLUSION**

6 **IT IS ORDERED:**

- 7 1. Plaintiff's motion for summary judgment (ECF No. 21) is **GRANTED**,
8 **in part**, and the matter is **REMANDED** to the Commissioner for
9 additional proceedings consistent with this order.
- 10 2. Defendant's motion for summary judgment (ECF No. 22) is **DENIED**.
- 11 3. Application for attorney fees may be filed by separate motion.

12 The District Court Executive is directed to file this Order, enter
13 **JUDGMENT FOR THE PLAINTIFF**, provide copies to counsel, and **CLOSE**
14 **THE FILE**.

15 DATED August 24, 2017.

16 s/Mary K. Dimke
17 MARY K. DIMKE
18 UNITED STATES MAGISTRATE JUDGE
19
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